

JAN 18 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Likourezos et al. Examiner: Clement B. Graham
Serial No.: 10/044,075 Group: Art Unit 3692
Filed: January 11, 2002 Docket: 1002 CIP III
For: **SYSTEM AND METHOD FOR EFFECTING PAYMENT
FOR AN ITEM OFFERED FOR AN ELECTRONIC AUCTION SALE**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

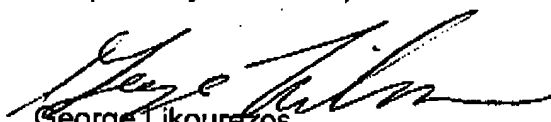
COMPLETELY INITIALED PTO-1449 FORMS FOR

INCLUSION IN THE ELECTRONIC FILE

Sir:

Please scan and add to the electronic file the attached two PTO-1449 forms which were completely initialed and faxed to the undersigned on January 17, 2007.

Respectfully submitted,


George Likourezos
Reg. No. 40,067
Applicant & Attorney for Applicants

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Form PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. Docket NO.
1002 CIP 01

SERIAL NO.
10044 073

APPLICANT
George L. Brown et al.

FILED DATE
January 11, 2007

GROUP ART UNIT
3628

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

U.S. PATENT/PATENT APPLICATION DOCUMENTS

EXAMINER REMARK	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILED DATE IF APPROPRIATE
CG	US 2002/007792A1	Published on June 28, 2002	O'Leary et al.			June 21, 2001
CG	US 2002/007963A1	Published on March 14, 2002	Sobotnik			August 23, 2001
CG	US 2002/0014789A1	Published on Feb. 7, 2002	Barney et al.			July 11, 2001
CG	6,466,917 B1	Oct. 15, 2002	Cord et al.			
CG	6,290,051 B1	March 13, 2001	Woolman			
CG	6,286,651 B1	July 24, 2001	Woolman			
CG	6,075,178	July 4, 2000	Woolman			
CG	5,840,111	Sept. 26, 1999	Hickman et al.			
CG	5,845,265	Dec. 1, 1999	Woolman			

FOREIGN PATENT/PATENT PUBLICATION DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO
CG	WO/01/1433 A3	Sept. 27, 2001	PCT (WIPO)		
CG	WO/01/1433 A2	Sept. 27, 2001	PCT (WIPO)		

OTHER PRIOR ART (including Articles, TBC, Data, Foreword Pages, Etc.)

CG	Day and Wells Fargo Leasing Electronic Check to Automate in Credit Card and Traditional Credit Card Online Payments by Bijette Provides First, Automated Internet Payment Service, June 6, 2003, Wells Fargo, http://www.wellsfargo.com/news/2003/06/06 , printed on Oct. 10, 2002.
CG	David S. Smith: Towards a First-Price Marketplace - Best Practices for the Online Auction Industry, Sells et al, June 2002, http://www.auction.com/resources/articles/first-price.htm , printed on Oct. 23, 2002.
CG	David S. Smith: Towards a First-Price Marketplace - Best Practices for the Online Auction Industry, Sells et al, April 2001.
CG	Payment Methods For Consumer-To-Consumer Online Transactions, David S. Smith, 25 Akron L. Rev. 1 (2003).

EXAMINER
C. C. GRAHAM

DATE CONSIDERED
1/10/07

* EXAMINER: Initial if information considered, whether or not citation is in non-patent literature. With MPER 409, Cross file through
quoting if not in non-patent literature and not considered. Initial copy of this form with non-patent literature to applicant.

(Form PTO-1449 (10-01))

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Sheet 1 of 1

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (One separate sheet if necessary)		ATTY. DOCKET NO.		SERIAL NO.	
		DOES CIV. ILL.		NOT YET ASSIGNED	
		APPLICANTS			
		George Liberman et al.			
		FILING DATE		GROUP ART UNIT	
		January 11, 2007		NOT YET ASSIGNED	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILED DATE IF APPROPRIATE	
CG	6,672,138	Feb. 20, 1999	Chen et al.				

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
CG	WO 01/04816 A1	International Publication Date: January 18, 2001	PCT				
CG	WO 01/28447 A1	International Publication Date: May 10, 2001	PCT				
CG	WO 01/7432 A2	International Publication Date: September 27, 2001	PCT				

OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)

CG CG	http://www.paydiant.yahoo.com web site pages, printed on July 17, 2001, 15 pages.
	PDC Auction user Guide, Version 1.0, copyright 1998, 1999, 2000. PDC Software, Inc., 137 pages.
CG CG	http://www.bidding.com web site pages, printed on July 18, 2001, 8 pages.
	http://www.auction.com web site pages, printed on July 18, 2001, 3 pages.

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* EXAMINER: Initial if reference considered, whether or not citation is in compliance with MPEP 809. Draw line through citation if not in compliance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 10-01)